

**RICHARD LISSACK, O.C.**

AGE: 55

STATUS: 3 children

CALLED: Inner Temple 1978, Eastern Caribbean 2002, New York (FLC) 2007, N. Ireland 2007, DIFC 2008

CIRCUIT: Western 1980, European 2005

DEPUTY HIGH COURT JUDGE: 2010, (Recorder) 1999 Crime and Civil (Assistant Recorder 1993)

QUEEN'S COUNSEL: 1994 (aged 37)

PROFESSIONAL: Bencher, Inner Temple  
Member, Chartered Institute of Arbitrators  
Financial Services Lawyers Association (Board Member)  
Human Rights Lawyers Association  
Commercial Bar Association  
International Bar Association  
Employment Law Bar Association  
Bar European Group  
Health and Safety Lawyers Association  
American Counsel Association  
Federal Bar Council of New York  
International Association of Defense Counsel

PRACTICE: Commercial, Regulatory and Discipline, Public Law

SPEAKING: Corporate Enforcement Forum – London - 2012  
IADC Corporate College – Paris - 2011  
Bribery Act and the US FCPA – London 2011  
Cross Border Enforcement – Charlottesville, Virginia 2011  
International Securities Litigation – London 2010  
Slaughter and May – Financial Services and Banking Law – London 2010  
UK/US Regulatory law developments – New York - 2010  
Economic Crime Forum – London - 2010  
Gresham House Network – London - 2010  
IADC – Paris - 2009  
Commercial Bar Association – Chicago – 2009  
HSLA re *Chargot* – London – 2009  
Lovells Financial Services Law – London - 2008  
Cartels after *Norris* – London - 2008  
LexisNexis Financial Services Law Symposium -London - 2008  
Federal Bar Council of New York - Nevis - 2008  
ABA London Conference - 2007  
Kennedys regulatory law conference – London – 2006  
Freshfields Health and Safety seminar – London – 2005  
TUC Conference on corporate manslaughter – London – 2005

MEDIA: Extensive coverage on television, radio and in the printed press including by way of recent example BBC documentary series “*The Chambers*”(2010), *File on Four* (2009), *Newsnight* (2009), *Panorama* (2009), the *Trial of Gemma Lang, Hatrick and ITV* – filmed 2007

PUBLICATIONS: Bribery, 2011, LexisNexis  
Public Inquiries, 2011, Oxford University Press  
Financial Services Law and Regulation, 2009, LexisNexis

DIRECTORIES: Legal 500 – 2012, Legal Experts – 2012 Chambers Directory–/2012 Bar Directory

OTHER INFORMATION: President, S&WWH, owns and runs Thoroughbred Stud and 250 acre farm in Wiltshire, Ambassador for ActionAid, Patron and Development Board member RADA.

COMMERCIAL AND BUSINESS

CASE/COURT	SOLICITORS	TYPE OF CASE/COMMENT
Re Lloyds of London	General Counsel	<i>Major insurance market issues</i>
CH2M Hill	Hogan Lovells	<i>International transactional advice</i>
Re a commercial dispute New York	Cooley Manion Jones, Boston and Burgess Salmon, Bristol	<i>Expert witness on UK and EC law in multimillion dollar commercial dispute</i>
Alstom Securities SA New York	Hughes Hubbard, New York Washington and Paris	<i>Securities class action and derivative mediation re insurance coverage</i>
Re a firm FSA RDC/AADB/QBD	Taylor Wessing	<i>Major regulatory and disciplinary investigation and related proceedings</i>
Government of Brazil v various banks and other parties Privy Council	LG, London with Crill Canavan, Jersey	<i>Worldwide proceedings to recover in excess of US\$1 billion – successful</i>
Ramsingh v AG for Trinidad Privy Council	LG, London with Rooparine, Trinidad	<i>Test case on state powers under Caribbean constitution</i>
Pacific Electric Wire Company and others Privy Council	W K To and Co, Hong Kong Farara Kerins, BVI Charles Russell, London	<i>Multi billion dollar forum non conveniens appeal from Eastern Caribbean</i>
Norris v United States of America House of Lords	SFO	<i>Landmark case on cartels and conspiracy</i>
Henley v Mirvahedy House of Lords	Jane Phillips	<i>Statutory Interpretation Animals Act 1971</i>
Stokes Pension Fund Trustees House of Lords	Davis Wood	<i>Construction of CPR re Costs Leave to Appeal refused</i>
K Ltd v NatWest Bank plc Court of Appeal	DLA London	<i>Test case on meaning of suspicion in PoCA – successful</i>
R v London Fire Authority ex p FBU Court of Appeal	LFA in house counsel	<i>Judicial Review re Pension scheme - successful</i>
Lloyds Bank v C&A Court of Appeal	Martineau Johnson	<i>Striking out – abuse and estoppels – successful</i>
Shah v HSBC Private Bank Court of Appeal x 3	Berwin Leighton Paisner and prior DLA Piper, London	<i>\$387million claim – test case on banking law interaction with POCA regime</i>
NFU v various defendants Court of Appeal/QBD	NFU Counsel/Thring Townsend	<i>Class action arising out of foot and mouth disease outbreak 2007</i>
CMC Marketmaker Court of Appeal/QBD	Radcliffe Le Brasseur Forex Asia General Counsel, Beijing	<i>Contempt proceedings against MD of FX house in China</i>
In re a liquidation in the BVI Commercial Court	DLA Piper, London and Farara Kerins, BVI and BDO, British Virgin Islands	<i>Action by Caribbean liquidator in London to recover US\$14million</i>
Re Bank of Moscow	Stewarts Law, London	<i>International arbitration and banking litigation</i>
Emirates Petroleum v Trafigura Commercial Court	General Counsel, Emerat	<i>\$81 billion claim concerning contractual trading terms in the Gulf</i>
Re National Bank of Abu Dhabi Commercial Court	General Counsel, National Bank	<i>Multi-million dollar litigation</i>
FSA v Barnett Alexander Chancery Division	Ashursts and Nabarro	<i>Claim by FSA for civil market abuse</i>
Re Bluebell and Groombridge Chancery Division	Cripps Harries Hall	<i>Claim re land banking and CIS arrangements</i>
Re a worldwide corporate restructuring	PWC Legal	<i>Advising re international banking and regulatory compliance issues</i>
Re a Bahamian Trust	Hunton and Williams	<i>Advising re international financial services regulatory compliance issues</i>
Re an international corporation	Hughes Hubbard, Washington DC Slaughter and May	<i>Advise re POCA/FCPA compliance issues</i>

**REGULATION AND ENFORCEMENT**

<b>CASE/COURT</b>	<b>SOLICITORS</b>	<b>TYPE OF CASE/COMMENT</b>
Buncefield Oil Refinery	Freshfields Bruckhaus Deringer	<i>Commercial claims and investigation arising out of explosion</i>
Innospec Washington and London	Hughes Hubbard, Washington DC	<i>First US-UK joint corporate monitorship under \$40 million settlement</i>
Re National Bank of Abu Dhabi	General Counsel, National Bank	<i>Advisory work on worldwide compliance</i>
Re Nimrod Review	Barlow Lyde and Gilbert	<i>Issues arising out of loss of Nimrod aircraft in Afghanistan</i>
R v Rimmington	Barlow Lyde and Gilbert and Saunders LLP	<i>Insider dealing prosecution</i>
Re an issue under PoCA	Financial Services Authority	<i>Generic Advice</i>
Chargot Limited and HSE House of Lords	Halliwells/Keoghs	<i>Section 3/37 test case</i>
Costain Limited Court of Appeal	Clyde and Co	<i>Section 3 test case</i>
R v Soton University NHS Trust Court of Appeal	Clyde and Co/Weightmans	<i>Health and Safety Prosecution Appealed successfully</i>
R v Tulip Group Court of Appeal	Beachcrofts	<i>Health and Safety Prosecution Appealed successfully</i>
R v Balfour Beatty Rail Infrastructure Court of Appeal	CPS	<i>Health and Safety Prosecution Appealed successfully</i>
R v Connolly Court of Appeal	Berrymans	<i>Manslaughter by gross negligence Appealed successfully</i>
R v Cotswold Geotech Court of Appeal	Pinsent Masons	<i>First Prosecution under the Corporate Manslaughter Act</i>
R v Stoddart (Lyme Bay Canoe Tragedy)	Ensor Byfield	<i>Manslaughter by gross negligence Defended successfully</i>
R v Ayres O'Connor Guideday Ltd (Pescado)	Whiteford Crocker	<i>Manslaughter by gross negligence Defended successfully</i>
R v Jessey (Lone Signature)	Mitchell Dodds Brown	<i>Manslaughter by gross negligence Defended successfully</i>
R v Connolly (Tebay Rail Incident)	Berrymans Lace Mawer	<i>Manslaughter by gross negligence/H&amp;S Appeal allowed</i>
R v Todd (Death on Mount Everest)	Saunders LLP	<i>Manslaughter by gross negligence Defended successfully</i>
re Imco Plastics Ltd	Beachcrofts	<i>Manslaughter by gross negligence Defended successfully</i>
Re Gerrards Cross	Pinsent Masons	<i>Major H&amp;S and contractual dispute arising out of tunnel collapse</i>
Re Cook and others Court Martial	John Mackenzie	<i>Manslaughter by British troops in Iraq Defended successfully</i>
Re Norton Court Martial	Bobetts Mackan	<i>Manslaughter by Gross Negligence Defended successfully</i>
Re Project Windsor	Herbert Smith LLP	<i>Banking and third party rights issues in context of a criminal investigation</i>
Re Gatcombe Park Horse Trials	Osborne Clarke	<i>Health and Safety prosecution – defended successfully</i>
Swift Holdings Ltd	BCL London	<i>International corruption investigation</i>
Interprods Ltd	Clyde and Co	<i>International corruption investigation</i>
Unaoil Inc	Hughes Hubbard, Washington DC	<i>International corruption investigation</i>
Re Reading Festivals	Freeth Cartwright	<i>Health and Safety prosecution</i>
Maxwell	SFO	<i>Pension Fraud</i>
A-G Reference	Attorney General	<i>Corporate liability in Crime</i>
re Hatfield Train Crash	CPS Casework Directorate	<i>Manslaughter by gross negligence/H&amp;S</i>
re Potters Bar Train Crash	CPS Casework Directorate	<i>Manslaughter by gross negligence/H&amp;S</i>
re Ladbroke Grove Train Crash	CPS Casework Directorate	<i>Manslaughter by gross negligence/H&amp;S</i>
R v Litchfield (Maria Asumpta)	CPS	<i>Manslaughter by gross negligence appointed by Attorney General</i>
R v Great Western Trains Ltd (Southall Rail Crash)	CPS Casework Directorate	<i>Manslaughter by gross negligence Seminal case on corporate liability</i>

PUBLIC LAW

CASE/COURT	SOLICITORS	TYPE OF CASE/COMMENT
Jack Alderman US Supreme Court	Clifford Chance London and New York	<i>Pro-bono death row case – see www.exoneratejack.org</i>
Burke v GMC ECHR	Irwin Mitchell London	<i>Right to life</i>
Re Parliament Act House of Lords	Allen and Overy	<i>Constitutional Law case</i>
Gregory v Portsmouth City Council House of Lords	Wilkinsons	<i>Scope and extent of the tort of malicious prosecution</i>
London Borough of Richmond House of Lords	Local Authority Solicitors	<i>Public Law - Judicial Review Care in the community £50m annually turns on result &amp; £800m back payments</i>
Nolan v USA European Court of Justice	Rowleys	<i>Landmark case on state immunity and employees right</i>
Lake v BTP Court of Appeal	Weightmans	<i>Employment law appeal</i>
Roads v Central Trains Court of Appeal	DRC	<i>Disability discrimination case re access</i>
Smith v Churchill Court of Appeal	DRC	<i>Disability discrimination case 2005</i>
Collins v National Theatre Court of Appeal	Ann Cutting	<i>Disability Discrimination Act construction</i>
Vakante v Addey School Court of Appeal	Lewisham Borough	<i>Test case re European Directive and illegality</i>
Royal Bank of Scotland v Allen Court of Appeal	Cobbetts	<i>Disability Discrimination Act construction</i>
Re Scottish Ban on Hunting Outer House	Allen and Overy	<i>Domestic and European law aspects of Act</i>
Re Hunting Act 2005 Divisional Court	Allen and Overy	<i>Domestic and European law aspects of Bill</i>
Sutovic and Nth Lndon Coroner Divisional Court	Sutovic and Hartigan	<i>Judicial Review/Section 13 claim for new inquest after death in Belgrade</i>
Re Richard Neale Administrative Court	Alexander Harris	<i>Judicial review re public inquiry refusal</i>
Re Foot and Mouth Disease Administrative Court QBD	CWC	<i>Judicial Review re Inquiries into Foot and mouth disease</i>
Hong Kong v China High Court	P C Woo Hong Kong	<i>Judicial review of decision of RPC to close HK schools</i>
Project Alabama – NEC	Freshfields	<i>Major Public Procurement Project</i>
Re a Princess	Herbert Smith	<i>Private issue for leading Middle Eastern Royal family</i>
Re: Guy Carpenter	Herbert Smith	<i>Employment related issues in connection with third party proceedings</i>
Re L High Court	Alexander Harris	<i>Test case re right to treatment for infant</i>
Harold Shipman Public Inquiry	Alexander Harris	<i>Counsel for bereaved families</i>
Zahid Mubarek Public Inquiry	Alexander Harris	<i>Counsel advising the IMB</i>
Bristol Royal Infirmary Inquiry Public Inquiry	Joint lead solicitors	<i>Clinical Negligence Counsel bereaved and injured 1998-2000</i>
Re Southall Rail Inquiry Public Inquiry	Chief Solicitor BRB	<i>Inquiry into Rail Crash</i>
Re Ladbroke Grove Rail Inquiry Public Inquiry	Chief Solicitor BRB	<i>Inquiry into Rail Crash</i>
Royal Brompton Hospital Inquiry Internal Inquiry	Field Fisher Waterhouse	<i>London</i>
Neale Inquiry Private Inquiry	Alexander Harris	<i>Advising Counsel for the victims</i>
Re Burns Inquiry	Allen and Overy	<i>Public Inquiry into Hunting with Hounds</i>
Re Lawrence Dallaglio RFU Disciplinary Proceedings	Edwin Coe Lincolns Inn	<i>For the RFU in proceedings against former England Rugby Captain</i>